# **GUIDELINES**

for oral communications and posters

## to publish on the

## **PROCEEDING BOOK**

# of the 21<sup>th</sup> Workshop on the

Developments in the Italian PhD Research

on Food Science, Technology & Biotechnology

September, 14<sup>rd</sup>-16<sup>th</sup>, 2016

### **UNIVERSITY OF NAPLES FEDERICO II**

### GUIDELINES FOR THE PREPARATION OF THE CONTRIBUTIONS

The Coordination Committee approved the following guidelines at the CRA meeting in Rome, on 17<sup>th</sup> February 2015. The graduate students have to follow these guidelines for the preparation of the contributions that will be published in the Workshop Proceedings.

The purpose of the Committee was to reformulate the methods of preparation of the works in order to differentiate them from those typical of national or international conferences and highlight the specificity of the Workshop. The workshop, indeed, is a moment of the doctoral training, through calibrated lessons held by the specialists of the Food Science and Technology (FST), and through the dissemination of each phases of the research done by the students themselves.

Specifically, the 20<sup>th</sup> Workshop requires:

- a) An **oral communication** (**5 pages max**), where **3<sup>rd</sup>-year PhD students** will show their project, highlighting its innovation respect to the state of the art, the main results and their congruence or difference respect to the existing literature, the conclusions, and the developments for the future. If this document will fulfill the deadline and parameters required (see template 1), it will be published in the Proceedings. Furthermore, if the document will be approved by an academy board, composed by 2 professor of the sector (AGR/15, AGR/16), it will provide **5 CFU** to the author. The certificate of attendance and the certification of the credits will be released after the closure ceremony held by the Chairman of the Committee. Therefore, the frequency at the session of the workshop is mandatory.
  - b) A **poster communication (2 pages max)** and a **Poster (A1** format: 594 x 841 mm<sup>2</sup>), where 2<sup>nd</sup>-year PhD students will show the activities performed according to the initial research program and the results obtained in the first year, highlighting the differences with the expected ones, the confirm or the review of the research program for the next year. If this document will fulfill the deadline and parameters required (see template 2), it will be published in the Proceedings. Furthermore, if the document will be approved by an academy board, composed by 2 professor of the sector (AGR/15, AGR/16), it will provide 3 CFU to the author. The certificate of attendance and the certification of the credits will be released after the closure ceremony held by the Chairman of the Committee. Therefore, the frequency at the session of the workshop is mandatory.
  - c) A **PhD Dissertation Project (2 pages max)**, and a **Miniposter (A2** format: 420 x 594 mm<sup>2</sup>) where the **1<sup>st</sup>-year PhD students** will show a short state of the art about their project, the milestones, and the expected results. If this document will fulfill the deadline and parameters required (see template 3), it will be published in the Proceedings. Furthermore, if the document will be approved by an academy board, composed by 2 professor of the sector (AGR/15, AGR/16), it will provide **2 CFU** to the author. The certificate of attendance and the certification of the credits will be released after the closure ceremony held by the Chairman of the Committee. Therefore, the frequency at the session of the workshop is mandatory.

#### Useful hints for the authors.

The PhD students, should ideally demonstrate an evolution in their path, by the progressive acquisition of the skills and knowledge typical of a trainee researcher.

a. **PhD Dissertation Project (2 pages max)**, and **Miniposter (A2** format: 420 x 594 mm<sup>2</sup>): ideally, by the end of the first year<sup>1</sup>, the PhD student should conclude the bibliographic research and the plan of the activity for the next two years. Even if the research activity has already started, this not affects the compilation and the defense of such documents. Indeed, in the PhD dissertation project is important to clearly show which are the objectives and how the project may significantly improve firstly the scientific, and secondly the technical knowledge in Food Science, Technology and Biotechnology. Therefore, worth importance both the scientific literature, citing the main articles (e.g. from 6 to 8 articles), and the need for new knowledge, theories, and models. The Dissertation Project must be well structured and each phase logically connected, reporting milestones, and measurable products and be clearly connected with the objectives. An analysis of the risk (e.g. "what will happen, if i don't reach a middle goal?) may be helpful in some cases. During the workshop, the PhD students have to manifest their abilities to manage the selected literature, to explain the objectives and their scientific and technological relevance, to defend their approach to the project.

b. **poster communication (2 pages max)** and **Poster**: ideally, by the end of the second year, the PhD student should conclude at least a part of the research planned by the dissertation project. Even if showing the whole obtained results is not indispensable (sometimes is impossible due to the limited space available), is important that both the communication and the poster clearly refer to the dissertation project. The ability, knowledge, and skills to evaluate are different at this phase: the PhD student, have to show great knowledge of the methodological aspects related to the dissertation project (or reported in the poster); he/she has to show abilities in hypothesis formulation, in the development of the experimental approach to test them, and in the data analysis using proper statistical tools; he/she has to show results in table or effective graphs; he/she has to show ability in the discussion of results by the comparison with available knowledge. It is of utmost importance that the communication and especially the poster HAVE NOT BEEN SHOWN EXACTLY IN THE SAME APPEARANCE in other scientific conference.

c. oral communication: when editing this document, and expecially during the presentation at the workshop, the PhD students are at the end of the training, and must clearly show acquisition of the skills and knowledge typical of a trainee researcher. In spite of the space available, all the results obtained during 3 years are unlikely to report in detail. On the other hand, it is advisable to show a review over the results, highlighting its reflection towards the dissertation project, the innovation in relation to the state of the art, the new perspective in research or technological development opened by the project. The description of the methodologies can be minimized or illustrated during the oral presentation, should that be necessary. Graphs and table must be noticeably showed and representative of the performed activity. Furthermore, is not advisable to designate results as preliminary, since the dissertation project should be already concluded. During the oral presentation, the student can choose between two strategies: 1. Focusing one particular respect of the research activity; 2. Describing the whole three-years research activity. In the first case, the presentation will resemble, probably, a typical communication not go for conference; in the second one the presentation may appear burden. In each case the student must give an overview of the research project, and the scientific context where it is placed, highlighting the innovative aspects of the whole project.

### **Workshop Formatting Instructions: Contribution Title**

PhD Student Name (e-mail) Affiliation b (Dept., University, City, Country) Tutor: Prof. X Y

This document contains the content and formatting instructions for preparing a camera-ready paper for the Workshop. Your paper should be submitted by web <u>phdworkshop2016@unina.it</u> before **June**, **20**<sup>th</sup>, **2016**. The manuscript should start with an abstract of the PhD work (no more than 100 words) which should summarize the scope, aims, results and conclusions. **Do not head** the abstract section with any heading (ex: do not indicate the word Abstract).

#### **Titolo in Italiano**

Riassunto in Italiano (max 100 parole)

#### **1.** Format and Type Fonts

This chapter contains lay-out and formatting instructions for preparing a camera-ready paper for the Workshop using Microsoft Word. These instructions are to be followed strictly, and it is strongly advised to use the styles indicated in this document in between square brackets. It is strongly advised NOT to use more formatting or styles in your paper than the ones mentioned here. All data should be reported in the: SI Unit System. To prepare your paper you can either **use this document as your template and simply replace this text by your text** or follow the instructions reported below.

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Please make sure that you do not exceed the indicated type area. Maximum number of pages, including references and figures, for an Oral Communication is **5 pages**, for a Poster or a Mini-Poster is **2 pages**. Do NOT add page numbers.

Do NOT add Headers of Footers.

#### **1.2 Type font and type size**

[Style: Normal]

Prescribed font is Times New Roman, 10 points, with single spacing, 1 column. However, if your text contains complicated mathematical expressions or chemical formulae, you may need to increase the line spacing. Running text should be justified.

The title of the paper should be in Times New Roman, Bold, 14 pt, Centred, with 30 pt before and 6 pts after the paragraph [Style: Title]

The authors and affiliation should be typed in 10 pt. Times New Roman, Centred

#### 1.3 Section headings

The way chapter titles and other headings are displayed in these instructions are meant to be followed in your manuscript. It is strongly recommended that you use the preformatted styles for the headings.

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Level 2: Times New Roman, 10 pt, Bold, NO spacing after heading, Lower case [Style: Heading 2] Level 3: Times New Roman, 10 pt, Italic, NO spacing after the heading, Lower case [Style: Heading 3]

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#### **1.5 Equations**

Make sure that placing and numbering of equations is consistent throughout your manuscript.

 $E=mc^2(1)$ 

Leave one extra line space above and below the equation, left align the equation and put the number of the equation flush-right, using a Right Tab on the right margin.

#### 2. Illustrations and Tables

#### 2.1 General

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Set table number (Bold) and title flush (Italic) left above table, with 15 pt before and 6 pts after between text and table. To distinguish tables from the main text, use a smaller type font (Times New Roman, Italic, 9 pt). Horizontal lines should be placed above and below table headings, above the subheadings and at the bottom of the table above any notes. Vertical lines should be avoided.

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# **ORAL COMMUNICATIONS**

### Optimal Strategy to Model the Electrodialytic Recovery of Some Fermentation Products

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This PhD thesis dealt with the assessment of a comprehensive mathematical model of the electrodialytic (ED) recovery of some sodium salts of mono-carboxylic acids from aqueous solutions and establishment of an experimental procedure to determine the effective parameters for designing and optimising ED stacks.

## Strategia ottimale per la modellizzazione del recupero elettrodialitico di alcuni prodotti di fermentazione

Questa tesi di dottorato ha riguardato lo sviluppo di un modello matematico per il recupero elettrodialitico (ED) di alcuni sali sodici di acidi monocarbossilici da soluzioni acquose e di una procedura sperimentale diretta alla determinazione dei parametri essenziali per la progettazione e l'ottimizzazione di unità di ED.

Key words: Electrodialysis; organic acids; modelling; transport numbers in solution and electro-membranes.

#### 1. Introduction

In accordance with the PhD thesis project previously decribed (Spitz, 2004), this oral communication reports the main results of the following four activities directed to:

- A1) determine and model the density ( $\rho$ ), kinematic viscosity ( $\nu$ ), and electric conductivity ( $\chi$ ) of sodium chloride, acetate (A), and lactate (L) in aqueous solutions as functions of solute concentration ( $C_B$ );
- A2) assess and model the ED processes in a pilot-plant scale equipped with monopolar membranes as functions of current density (I), feed flow rate (Q<sub>F</sub>) and C<sub>B</sub>;
- A3) establish an experimental procedure to determine the effective design parameters for ED stacks;
- A4) assess the important parameters in ED stack design and optimisation.

#### 2. Electrodialysis Applications

Electrodialysis (ED) is a unit operation for the separation or concentration of ions in solutions based upon their selective electro-migration through semipermeable membranes under the influence of a potential gradient (Ho and Sirkar, 1992). ED was commercially introduced to desalinate water about 10 years before reverse osmosis (RO). The production of potable water from brackish water is currently the most important industrial ED application. In addition, the production of table salt from seawater has achieved a certain commercial importance, especially in Japan and Kuwait, even if it seems to be highly subsidized (Ho and Sirkar, 1992). Despite the first industrial ED application in the food sector dated back to 1960 and concerned the demineralisation of cheese whey for use in baby-foods, other applications concerning tartaric wine stabilization of wine, fruit juice de-acidification, and molasses desalting are gaining increasing importance with large-scale industrial plants.

Application	Example
Fractionation	Brackish water desalination
	Nitrate removal from drinking water
	NaCl removal from amino acid solutions
	Cheese whey demineralisation
	Desalting of protein hydrolysates (i.e., soy sauce), sugar solutions,
	molasses, and polysaccharide dispersions
	De-acidification of fruit juices
	Tartaric wine stabilisation
	Flavour recover from pickle brines
Concentration	Edible table salt production from seawater
	Salts of organic acids from exhausted fermentation media
	Amino acids from protein hydrolysates
Splitting	Conversion of salts into the corresponding free acid and base

**Table 1**Main applications of the ED in the food sector.

The fermentation industry is also interested, especially when the main product of the microbial metabolism is an electrolyte which can inhibit the cell growth and/or metabolite production in either its free or dissociated form,

or is dissolved in media rich of impurities removable via numerous and expensive purification steps. In these cases, ED was for instance suggested as an environmentally-friendly alternative to the conventional citric acid recovery process, that gives rise to enormous amounts of calcium sulphate (circa 2 kg of CaSO<sub>4</sub>.2H<sub>2</sub>O per kg of monohydrated citric acid) that are to be disposed (Moresi and Sappino, 1998). Table 1 summarises the main industrial ED applications in the food sector.

**3. Mathematical Modelling** 

To design or optimise an ED process several parameters are to be taken into account, namely stack construction and spacer configuration, operation mode, membrane perm-selectivity, feed and product concentration, flow velocities, current density and voltage applied to the electrodes, recovery rates, etc. Most of the parameters of which are to be determined by independent experiments or existing correlations.

The Maxwell-Stefan (MS) equation represents the simplest mathematical tool for linking the flux of a generic species through the membrane with its interfacial concentrations at the membrane left- and right-sides, as well as with the external electrical voltage applied to the ED electrodes (Krishna and Wesselingh, 1997). To overcome the main problem in the application of the MS mass transfer model to ED processes, i.e. the large number of species diffusivities in the free solution and membrane phase (van der Stegen *et al.*, 1999), the Nerst-Planck (NP) relationship is largely used to describe diffusion and electro-migration contributions to ion transport in ion-exchange membranes. The basic mathematical model consists of water and solute mass balances coupled with the solute and water transfer equations and voltage equation for the ED loop concerned, as given below:

$$\frac{d(n_{BC})}{d\theta} = -\frac{d(n_{BD})}{d\theta} = J_B a_{me} N; \quad \frac{d(n_{WC})}{d\theta} = -\frac{d(n_{WD})}{d\theta} = J_W a_{me} N$$
(1)

$$J_{B} = \frac{t_{s}}{F} j - L_{B} \Delta c_{B} ; J_{W} = \frac{t_{W}}{F} j - L_{W} \Delta c_{B}$$
<sup>(2)</sup>

$$E - E_{el} + \left(E_j + E_D\right)N_c = RI \tag{3}$$

$$R = \left(R_c + R_{fc,D} + R_D + R_{fa,D} + R_a + R_{fa,C} + R_C + R_{fc,C}\right)N_c + 2R_{ERS}$$
(4)

Where all symbols are given in section 7.

The contribution of solute polarisation, namely the electric resistance ( $R_f$ ) and junction potential difference ( $E_j$ ) across any boundary layer, was found to be negligible, this being also true for the contribution of solute and water diffusion:  $L_B \approx L_W \approx 0$  (Fidaleo and Moresi, 2004). On the contrary, the Donnan potential difference ( $E_D$ ) in any cell pair, which behaves as a direct current generator with inverted polarities with respect to those of the external DC generator, has to be accounted for  $\Delta c_B$  increases:

$$E_{\rm D} = 2 t_{\rm s} \frac{R_{\rm G} T_{\rm K}}{F} \ln(\frac{c_{\rm BD}}{c_{\rm BC}})$$
(5)

The ohmic resistances of the bulk solutions in the concentrating (C), diluting (D), or electrode rinsing solution (ERS) compartment can be estimated via the 2<sup>nd</sup> Ohm's law:

$$R_{C} \approx \frac{h}{a_{me} c_{B,C} \Lambda(c_{B,C})}; R_{D} \approx \frac{h}{a_{me} c_{B,D} \Lambda(c_{B,D})}; R_{ERS} = \frac{h_{ERS}}{a_{ERS} c_{B,ERS} \Lambda(c_{B,ERS})}$$
(6)

The so-called limiting current density  $(j_{lim})$  is the first value at which the electrolyte concentration at an anionic (a) or cationic (c) membrane surface falls to zero. Its controlling values generally refer to the diluting compartments and can be estimated as:

$$j_{\lim,c} = \frac{F c_{BD} k_m}{(t_c^+ - t^+)} \qquad j_{\lim,a} = \frac{F c_{BD} k_m}{(t_a^- - t^-)}$$
(7)

The solute mass transfer coefficient can be calculated by resorting to the empirical correlation previously developed (data not published):

$$Sh = (0.53 \pm 0.01) \operatorname{Re}^{1/2} \cdot Sc^{1/3}$$
(8)

#### 4. Experimental Procedure

In this PhD thesis a five-step experimental procedure was set up by performing in sequence the following independent tests, that is i) zero-current leaching, osmosis, and dialysis; ii) electro-osmosis; iii) desalination; and iv) current-voltage tests. A fifth step was added to perform a few further trials (validation tests) to check for all the parameters estimated. In this way, it is possible to determine sequentially the main physical properties ( $\rho$ ;  $\eta$ ;  $\pi$ ;  $\chi$ ;  $\Lambda$ ;  $\gamma^{\pm}$ ;  $D_B$ ) of the free salt solutions by resorting to either the open literature or direct measurements; the membrane constants for solute ( $L_B$ ) or water ( $L_W$ ) transport by diffusion via tests i); the effective solute ( $t_s$ ) and water ( $t_W$ ) transport numbers in membranes by tests iii) and ii), respectively; the limiting current intensity ( $I_{lim}$ ), ion transport numbers ( $t_a^-$ ,  $t_c^+$ ), and surface resistances ( $r_a$ ,  $r_c$ ) in anionic and cationic membranes, and solute mass transfer coefficient ( $k_m$ ) by step iv).

#### 5. Materials and Methods

A laboratory-scale electrodialyser (Aqualyzer P1, Corning EIVS, Le Vesinet, F), previously described (Moresi and Sappino, 1998), was used. Several batch recycle runs were carried out by varying electric current intensity (I=0.5-1.5 A) under constant feed solute concentration ( $C_B \approx 0.5$  M), superficial velocity ( $v_s=3$  cm s<sup>-1</sup>), and temperature (T=293 K). The instantaneous salt concentrations in diluting (D) and concentrating (C) streams were indirectly estimated by measuring the electric conductivity ( $\chi$ ) at 293 K with a WTW conductivity meter mod. Inolab Cond Level 1. Limiting current tests at 293 K were performed to plot voltage ( $\Phi$ )-current (I) curves using stacks composed of 19 cation- (CMV) or 19 anion-(AMV) exchange membranes by varying  $C_B$ , I and  $v_s$ .

#### 5. Results and Discussion

#### 5.1 Conductivity measurements

For strong binary electrolytes, the transport numbers for cation (t<sup>+</sup>) and anion (t<sup>-</sup>) in dilute solutions can be easily estimated from the equivalent conductance at infinite dilution ( $\Lambda_0 = \lambda_0^+ + \lambda_0^-$ ), as extrapolated from the plot of the experimental equivalent conductance ( $\Lambda = \chi/C_B$ ) vs. the square root of solute molar concentration ( $\sqrt{C_B}$ ) at 293 K, the Na<sup>+</sup> equivalent conductance at infinite dilution ( $\lambda_0^+$ ) being equal to 44.95 S cm<sup>2</sup> mol<sup>-1</sup> (Prentice, 1991). In this way, the transport numbers for Na<sup>+</sup> were calculated as shown in Table 2, while those for the anion were their corresponding complement to one.

	<u> </u>									
Salt	Ms	t <sup>+</sup>	ts	t <sub>w</sub>	a <sub>me</sub>	ra	r <sub>c</sub>	t <sub>c</sub> +	t <sub>a</sub> -	3
	(Da)				$cm^2$	$\Omega \text{ cm}^2$		_		Wh g <sup>-1</sup>
NaCl	58.4	0.40	0.969±0.002	$9.31\pm0.07$	$49 \pm 2$	5.9	6.3	0.99	0.97	0.19
Na-A	82.0	0.56	0.931±0.003	$14.8\pm0.1$	$52 \pm 1$	12.0	6.0	0.93	1.00	0.21
Na-P	96.1	0.59	$0.982 \pm 0.002$	$15.23\pm0.04$	$50 \pm 1$	19.1	6.3	0.95	1.03	0.20
Na-L	112.1	0.60	$0.876 \pm 0.002$	$15.60\pm0.05$	$41 \pm 3$	16.4	5.5	0.92	0.96	0.22

**Table 2**ED recovery of some sodium salts from model solutions: effect of the salt molecular mass  $(M_S)$  on the main<br/>design parameters (i.e.,  $t^+$ ,  $t_s$ ,  $t_W$ ,  $a_{me}$ ,  $r_c$ ,  $t_c^+$ ,  $t_a^-$ ,  $\varepsilon$ ).

#### **5.2 Desalination tests**

By plotting the net increment (or decrement) in C or D solute ( $\Delta n$ ) or water ( $\Delta n_W$ ) masses *vs.* the Faraday equivalents of solute transferred ( $n_F$ =NI  $\theta$ /F), as shown in Fig. 1, it was possible to estimate  $t_s$  and  $t_W$ , for each salt studied (Table 2).



 $I_{\text{lim},a}$ ) and overall stack resistance to be determined. By plotting  $I_{\text{lim},c}$  or  $I_{\text{lim},a}$  vs. the solute concentration (C<sub>B</sub>), two linear graphs can be obtained (Fig. 2a). The ratios between their corresponding slopes  $I_{\text{lim},c}/I_{\text{lim},a}=(t^+_a-t^+)/(t^-_c-t^-)$  were calculated as suggested by Krol *et al.* (1999) and used to estimate the anion transport numbers in the

anionic membranes (Table 2). The current within the electro-membranes was almost exclusively carried by the counter ions.

For v<sub>s</sub> ranging from 3.0 to 6.1 cm s<sup>-1</sup>, E-I curves were coincident and linear with constant intercepts (i.e.  $E_{el} \approx 2.2$ -2.6 V) and slopes for I<0.75 I<sub>lim</sub>, this being an indirect confirmation of negligible contribution of solute polarisation.

By neglecting the contribution of  $E_j$ ,  $E_D$  and  $R_f$ , it was possible to establish two linear relationships between the apparent resistance ( $R_{MP}$ ) of the anionic or cationic membrane pack and the reciprocal of the solution electrical conductivity ( $\chi$ ) (Fig. 2b) with intercept and slope respectively proportional to the resistance ( $r_m$ ) of the electromembrane concerned and membrane gap per unit effective membrane surface area ( $h/a_{me}$ ). Fitting each set of data via the least squares method yielded the specific membrane resistances shown in Table 2. The estimated values of  $a_{me}$  were practically coincident with the exposed surface area of electrodes (44.6 cm<sup>2</sup>) and significantly different from the geometrical membrane surface area (72 cm<sup>2</sup>).



**Figure 2** *Main results of limiting current tests for NaCl* ( $\blacktriangle$ ,  $\triangle$ ), *NaA* ( $\blacklozenge$ ,  $\bigcirc$ ), *NaL* ( $\blacksquare$ ,  $\Box$ ) *as referred to cationic (closed symbols) or anionic (open symbols) membranes:* **a)** *limiting current intensity (I<sub>lim</sub>) vs. solute molar concentration (C)*; **b)** *electrical resistance of membrane pack (r<sub>MP</sub>) vs. the reciprocal of conductivity (\chi).* 

#### 5.4 Validation tests

By integrating Eq.s (1) and (2) together with the above independent parameters and Eq.s (3)-(4), it was possible to calculate the instantaneous values of  $c_B(\theta)$  in C and D tanks, as well as the voltage applied to the membrane pack ( $\Phi_{MP}$ =E-E<sub>el</sub>-2 R<sub>ERS</sub> I). As an example, Fig. 4 shows quite a satisfactory agreement between the experimental (closed and open symbols) and calculated (continuous lines) values of  $c_{BC}(\theta)$ ,  $c_{BD}(\theta)$ , and  $\Phi_{MP}(\theta)$  against time ( $\theta$ ) in the case of sodium acetate removal.



**Figure 4** Batch recovery of NaA using 10 AMV and 9 CMV membranes at 293 K,  $v_s=3$  cm/s and I=0.75 A (open symbols) or 1.5 A (closed symbols): solute concentrations in C ( $c_{BC}$ :  $\blacksquare$ ,  $\square$ ) and D ( $c_{BD}$ :  $\blacklozenge$ ,  $\bigcirc$ ) streams, and voltage applied to the ED stack ( $\Phi_{MP}$ :  $\blacktriangle$ ,  $\bigtriangleup$ ) vs. time ( $\theta$ ).

#### **5.5 ED Recovery of some fermentation products**

As the molecular mass ( $M_s$ ) of solute increased from 58 to 112 Da, the transport number for Na<sup>+</sup> in the corresponding solution tended to increase from 0.4 to 0.6 for the progressively smaller equivalent conductance at infinite dilution of acetate, and lactate ions with respect to that of Cl<sup>-1</sup>. Nevertheless, the current within the electro-membranes was almost exclusively carried by the counter ions. The effective solute ( $t_s$ ) transport number, that is the Faraday efficiency, ranged from 93 to 98%, even if reduced to 88% for sodium lactate. Despite the water transport number ( $t_w$ ) increased from 9.3 to 15.6, the maximum salt weight concentration in the concentrate ranged from 287 to 349 kg m<sup>-3</sup>. Whereas the surface resistance ( $r_c$ ) of the cationic membranes was about constant ( $6.0\pm 0.4 \ \Omega \ cm^2$ ),  $r_a$  tended to increase almost linearly with  $M_s$  ( $r^2$ =0.8), even if this yielded up to just a 15% increase in the specific electric energy consumption per kg of salt recovered ( $\epsilon$ ) in the case of 90% salt recovery at 1 A and 293 K (Table 2).

Finally, the membrane surface area (ame) effectively utilised was found to be about two thirds of the geometrical

one and just 10% greater than the exposed surface area of electrodes. This confirmed the general rule that recommends to provide the electrodes with bases with the highest degree of open area in the direction perpendicular to the membrane faces so as to maximise utilisation of membrane area and minimise the electrical resistance of stack.

#### 6. Conclusions and Future Perspectives

The present ED industry has experienced a steady growth rate of about 15% since 15 years. There are, however, a number of problems, that undoubtedly limit growth in membrane sales, like membrane fouling problems, design considerations, cleanability, investment and membrane replacement costs and competing technologies, such as nanofiltration (NF) and ion-exchange resins (IER). To overcome such uncertainties, long-term laboratory- and pilot-scale experiments are needed to assess membrane process performance and reliability. For instance, in the food biotechnology sector ED applications are still in their infancy, since practically none of the processes studied in laboratory- and pilot-scales have been converted into industrial realities, except for the recovery of Na-L from clarified fermentation broths. This means that ED processing potentialities have not been completely exploited so far probably because of the high specific electro-membrane costs or their short lifetime. The sequence of independent experimental trials outlined here is therefore recommended to estimate the really effective parameters for designing or optimising ED stacks. More specifically, the assessment of the effective membrane resistance, as well as surface area, appears to be of paramount importance if the data collected in a laboratory- or pilot-scale plant are to be safely transferred into an industrial-scale one. In this way, such an optimal strategy is expected to foster novel ED applications in the food sector, as well as in the chemical, pharmaceutical, and municipal effluent treatment areas.

#### 7. Nomenclature

a<sub>me</sub>, effective membrane surface area per each cell pair (m<sup>2</sup>); c<sub>B</sub>, C<sub>B</sub>, solute weight and molar concentrations in C, D, or ERS compartment (mol m<sup>-3</sup>); D<sub>B</sub>, diffusivity (m<sup>2</sup> s<sup>-1</sup>); E, overall potential drop across an ED stack (V); E<sub>D</sub> and E<sub>j</sub>, Donnan and junction potential differences across membranes (V); E<sub>el</sub>, thermodynamic electrode potential (V); F, Faraday's constant (96,486 C mol<sup>-1</sup>); h, membrane gap (m); h<sub>ERS</sub>, electrode channel width (m); I, electric current intensity (A); I<sub>lim</sub>, limiting current intensity (A); J<sub>B</sub> and J<sub>W</sub>, solute and water permeation fluxes (mol m<sup>-2</sup> s<sup>-1</sup>); j, electric current density (A m<sub>-2</sub>); j<sub>lim</sub>, limiting electric current density (A m<sub>-2</sub>); k<sub>m</sub>, solute mass transfer coefficient (m s<sup>-1</sup>); L<sub>B</sub> and L<sub>W</sub>, membrane constant for solute or water transport by diffusion (m s<sup>-1</sup>); M<sub>S</sub>, molecular mass (Da); N<sub>c</sub>, overall number of cell pairs; n<sub>B</sub> and n<sub>W</sub>, solute and water masses (mol); R, electric resistance (Ω); R<sub>ERS</sub>, electric resistance of electrode rinsing solution (Ω); R<sub>f</sub>, boundary layer electric resistance (Ω); R<sub>G</sub>, gas-law constant (=8.31 J mol<sup>-1</sup> K<sup>-1</sup>); R<sub>MP</sub>, apparent resistance of the generic membrane pack (Ω); Re, Reynolds number (=  $\rho v_S h/\eta$ ); r<sub>m</sub>, membrane surface resistance (Ω cm<sup>2</sup>); Sc, Schmidt number [= $\eta/(\rho D_B)$ ]; Sh, Sherwood number (= $k_m h/D_B$ ); T<sub>K</sub>, absolute temperature (K); t' and t<sup>+</sup>, transport numbers for anion and cation in solution; t<sub>m</sub><sup>-1</sup> and t<sub>m</sub><sup>+</sup>, anion and cation transport numbers in a generic membrane; t<sub>s</sub>, effective transport number; t<sub>W</sub> , water transport number;  $\chi$ , electric conductivity (S m<sup>-1</sup>);  $\gamma^{\pm}$ , molal activity coefficient (dimensionless);  $\Phi_{MP}$ , voltage applied to the membrane pack (V); A, equivalent conductance of the corresponding bulk solutions (= $\chi/c_B$ , m<sup>2</sup> mol<sup>-1</sup>);  $\eta$ , viscosity (Pa s);  $\theta$ , time (s);  $\rho$ , density (kg m<sup>-3</sup>);  $\pi$ , osmotic pressure (Pa).

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## **POSTER COMMUNICATIONS**

(Template 2)

### Recovery of Selected Microbial Metabolites from Model Solutions by Reverse Osmosis

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The first two activities of the PhD thesis project are described. Firstly, the density, viscosity, and osmotic pressure of aqueous solutions of sodium citrate, gluconate, and lactate were determined and correlated to the solute molar concentration ( $C_B$ ). Secondly, recovery of such solutes from aqueous solutions was studied

batchwise in a pilot plant equipped with a spiral-wound thin-composite reverse osmosis membrane, thus assessing that the osmotic pressure is the major resistance to overcome.

#### Recupero di alcuni metabolici microbici da soluzioni modello per osmosi inversa

Le prime 2 attività del progetto di tesi di dottorato sono descritte. Sono state determinate la densità, la viscosità e la pressione osmotica ( $\pi$ ) di soluzioni acquose di citrato, gluconato e lattato di sodio, correlandole alla concentrazione molare di soluto ( $C_B$ ). Si è studiato il recupero in batch di questi soluti in un impianto pilota, impiegando un modulo di osmosi inversa a spirale e si è ricavato che la permeazione del solvente è limitata da  $\pi$ .

Key words: Reverse osmosis, sodium citrate, gluconate, and lactate, membrane resistance, process modelling.

#### **1. Introduction**

In accordance with the PhD thesis project previously decribed (Fddff, 2005), this poster reports the main results of the first two activities concerning:

- (A1) the determination and modelling of the density ( $\rho$ ), kinematic viscosity ( $\nu$ ), and osmotic pressure ( $\pi$ ) of di-sodium hydrogen citrate, sodium gluconate, and sodium lactate in aqueous solutions as functions of feed weight ( $c_B$ ) or molar ( $C_B$ ) solute concentration;
- (A2) the assessment and modelling of the RO processes in a pilot-plant scale equipped with a spiral-wound membrane module as functions of transmembrane pressure difference applied ( $\Delta P$ ), and C<sub>B</sub>.

#### 2. Materials and Methods

A typical temperature- and pressure-controlled pilot-scale RO plant (VERIND, Rodano, I), previously described (Lo Presti and Moresi, 2000), was used. It was equipped with a thin-film composite spiral-wound membrane module, type SW30-2514 (FilmTec Corp., Div. of Dow Chemicals, Minneapolis, MN, USA) with 0.762-mm and 250-mm channel width and length, and 0.42-m<sup>2</sup> effective surface area. Several batch recycle runs were carried out under constant recirculation flow rate (1 m<sup>3</sup> h<sup>-1</sup>) and temperature (T=313 K) by varying  $\Delta P$  from 40 to 60 Pa. The feed solutions were prepared by dissolving technical grade di-sodium hydrogen citrate, sodium gluconate in or diluting 90% w/w lactic acid solution with deionised water to vary c<sub>B</sub> in the range 50-75 kg m<sup>-3</sup> to simulate the corresponding clarified fermentation medium. The pH of all acidic solutions was set to 5 by adding NaOH. The osmotic pressure ( $\pi$ ) at 310K, kinematic viscosity (v) at 313K, and density ( $\rho$ ) at 293K of several aqueous solutions containing 0-1.6 kmol m<sup>-3</sup> of the above salts were determined by using a Wescor Vapor Pressure osmometer (mod. 5500), capillary #25-50 Cannon-Fenske viscometers (ASTM, 1964), and calibrated volumetric flasks, respectively. The membrane module was cleaned and stored according to Lo Presti and Moresi (2000).

#### 3. Results and Discussion

#### 3.1 Determination of the main physical properties

The physical properties of the solutions tested were correlated to  $c_B$  or  $C_B$  as follows:

$$\rho = \rho_w + c_B (1 - \rho_w / \rho_B)$$
(1)  
$$\mu_r = \mu / \mu_W = \exp\left(aC_B + bC_B^2\right) \quad \pi = \alpha C_B$$
(2)

where  $\rho_W$  and  $\rho_B$  are the densities of pure water and pure solute;  $\mu$  and  $\mu_W$  are the solution and water dynamic viscosities;  $\mu_r$  is the relative viscosity;  $C_B$  the solute molar concentration; a and b are empirical coefficients;  $\pi$  is the solution osmotic pressure, and  $\alpha$  is known as the first "virial coefficient". All unknown parameters were determined using the least squares method, as reported in Table 1.

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**Table 1**Empirical correlations of the density, relative viscosity, and osmotic pressure of several aqueous solutions of<br/>the Na salts of citric, gluconic, and lactic acids for  $C_B$  ranging from 0 to 1.8 kmol m<sup>-3</sup>.

Solute	Density		Relative	viscosity		Osmotic Pressure	
	$\rho_{\mathbf{B}}$ (kg m <sup>-3</sup> )	r <sup>2</sup>	$\mathbf{a}$ (m <sup>3</sup> kmol <sup>-1</sup> )	$\mathbf{b}$ (m <sup>6</sup> kmol <sup>-2</sup> )	$\mathbf{r}^2$	<b>α</b> (Pa m <sup>3</sup> kmol <sup>-1</sup> )	r <sup>2</sup>
Citrate	2106	0.999	0.64±0.09	0.16±0.01	0.999	56.7±1.2	0.990
Gluconate	1919	0.997	$0.56 \pm 0.02$	$0.16 \pm 0.02$	0.998	47.9±0.5	0.996
Lactate All solutes tested	1321	0.977	0.68±0.07 0.52±0.04	0.23±0.09 0.15±0.03	0.972 0.983	60.7±1.1 4.3±1.0	0.993 0.967

#### **3.2 Modelling of the RO process**

According to conventional filtration theory (Cheryan, 1998), the actual mass flux of permeation (J<sub>p</sub>) through the

membrane is given by:

$$J_{p} = (\Delta P - \Delta \pi) / [\nu (R_{m} + R_{f} + R_{g})]$$
<sup>(3)</sup>

with

$$\Delta P = P_m - P_p = \frac{1}{2}\left(P_i + P_p\right) - P_p$$

where  $\Delta P$  is defined as the difference between the average pressures in the retentate  $(P_m)$  and permeate  $(P_p)$  sides, the former being the half sum of the inlet  $(P_i)$  and outlet  $(P_o)$  pressures in the retentate side;  $R_m$  is the intrinsic membrane resistance, and  $R_f$  or  $R_g$  is the membrane resistance due to fouling or polarisation layer. In particular, Eq. (4) was modified by replacing the solvent kinematic viscosity with the retentate one to account for its increase with  $C_B$  (Lo Presti and Moresi, 2000). Moreover, in accordance with Eq. (3) and Table 1, the instantaneous osmotic pressure difference between the retentate and permeate  $(\Delta \pi)$  was estimated as proportional to the difference between the retentate and permeate solute concentrations at the membrane surfaces.

The intrinsic membrane resistance ( $R_m$ ) was determined by performing several deionised water permeation tests at different  $\Delta P$  (10-60 Pa) and T (303-313K) values, thus obtaining the following empirical regression:

$$R_{m} = (-8.90 \pm 0.13) \cdot 10^{12} T + (7.31 \pm 0.04) \cdot 10^{14} \qquad r^{2} = 0.99 \tag{5}$$

Whatever the solute under study, the evolution of the RO concentration process was characterised by a similar decline in  $J_p$  as  $C_B$  increased (Fig. 1). This was the direct result of the strict similarity of the  $\pi$ -C<sub>B</sub> relationships for all the solutes examined (Table 2).

In all cases, the apparent solute rejection (Cheryan, 1998) was found to be greater than 99%, thus allowing the solute concentration in the permeate to be regarded as virtually zero ( $C_{Bp}=0$ ). Moreover, feed flow rate exhibited little or no effect on  $J_p$ , thus enabling the contribution of polarisation layer to be neglected ( $R_g=0$ ). On the contrary, the contribution of fouling deposited onto the membrane ( $R_f$ ) tended to increase with time. As it got to about one third of the intrinsic membrane resistance, a conventional chemically-based cleaning procedure was capable of restoring the intrinsic membrane resistance of the new membrane.

Fig. 1 shows the experimental permeation fluxes *vs.*  $C_B$  measured during subsequent batch RO concentration trials of all salts tested as compared to those calculated using the model reported here. Such a modelling exercise might be helpful to optimise the recovery of microbial metabolites from clarified fermentation broths. Thus, the experimental results agree with the expected ones and the original PhD thesis project can proceed without any substantial amendment.

**Figure 1** Effect of  $C_B$  on  $J_p$  during the RO concentration of sodium-citrate ( $\Delta$ ), -gluconate ( $\delta$ ), and -lactate (O) under the following operating conditions: feed flow rate (1000 dm<sup>3</sup>/h), T=313K, and  $P_i$ =6.1 MPa. The continuous line was calculated as reported in the text.



(4)

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## **PhD DISSERTATION PROJECTS**

### (Template 3)

### Experimental Strategy for the Optimal Design of Ultrafiltration Units Used to Recover Some Food Biopolymers

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This PhD thesis research project is aimed at setting up a batch or total recycle experimental procedure at both bench-top and pilot scales to identify the most appropriate mathematical model to simulate accurately the recovery of selected food biopolymers via tubular or hollow-fibre ultrafiltration modules and provide a basis for

their optimal design in an industrial scale.

## Strategia sperimentale per la progettazione ottimale di unità di ultrafiltrazione per il recupero di biopolimeri di interesse alimentare

Questo progetto di tesi di dottorato mira a mettere a punto un procedimento sperimentale, in batch o a riciclo totale, prima in impianto da banco e poi in impianto pilota, atto ad individuare il modello matematico in grado di simulare il processo di recupero di selezionati biopolimeri di interesse alimentare mediante moduli a membrana di ultrafiltrazione tubolari o a fibre cave, consentendone il dimensionamento ottimale in scala industriale.

#### 1. State-of-the-Art

Since the early 60s membrane separation processes have presented quite a limited diffusion and have just more recently begun to be recognised as efficient, economical and reliable separation processes. Depending on membrane pore size, feed cross flow velocity, transmembrane pressure difference applied ( $\Delta P$ ) and permeation flux, membrane filtration processes can be classified as microfiltration (MF), ultrafiltration (UF), nanofiltration (NF), and reverse osmosis (RO), the configuration of their modules being *tubular* (T), *hollow-fibre* (HF), *spiral-wound* (SW) or *plate-and-frame* (PF) (Cheryan, 1998; Daufin *et al.*, 1998; Ho and Sirkar, 1992).

Table 1 lists the main applications of UF membrane processing in the food and beverage sector together with specific membrane type and configuration, range of solvent permeation flux  $(J_{Wv})$  and solute true rejection  $(r_t)$ .

A great number of problems limit UF membrane sale growth like membrane resistance to solvent, fouling problems, design considerations for the incomplete comprehension of mass transfer mechanisms in membrane systems, cleanability, investment and membrane replacement costs, and competing technologies. Formation of a gel-polarised layer onto membrane surface, as well blocking of membrane surface pores or fouling of support materials, results in a more or less pronounced permeation flux decay. Such a decay is still difficult to quantify at the design stage by resorting to any of the numerous transport models available in the literature, that is *non porous or homogeneous membrane models (i.e.* solution-diffusion, extended solution-diffusion, and solution-diffusion-imperfection models), *pore-based models* (preferential sorption-capillary flow, finely porous, and surface force-pore flow models), and *irreversible thermodynamics phenomenological models* (such as Kedem-Katchalsky and Spiegler-Kedem models).

Application	Example	Membrane module characteristics											
		Туре	Material	Cut-off (kDa)	$J_{Wv}$ (dm <sup>3</sup> m <sup>-2</sup> h <sup>-1</sup> )	<b>r</b> <sub>t</sub> (%)							
Fractionation	Milk or whey (protein from lactose and minerals)	PF, SW, T	C, PS, PES	10-100	5-100	70-97							
	Oil fractions from oil-in-water emulsions	SW	TFC	8	10-13	70-90							
Clarification	Alcoholic juices and beverages	HF, SW, T	PAN, PS, PES	10-100	5-100	70-97							
	Removal of colloids, pigments, low MW compounds	HF, T	PAN, PES	10-50	5-50	70-83							
Concentration	Albumin and proteins	PF, SW, T	C, PS, PES	10-100	5-30	70-83							
	Polysaccharides (karragineen, xanthan)	HF	PS	500	5-10	-							

**Table 1**Main applications of UF membrane processes in the food sector.

C: Ceramic; PS: Polysulfone; PES: Polyethersulfone; PAN: Polyacrylonitrile; TFC: Thin Film Composite.

For instance, it is difficult to express the real relationship between  $J_{Wv}$  and  $\Delta P$  and, in particular, the fact that in the UF range  $J_{Wv}$  is controlled by pressure for  $\Delta P < 6$  Pa and by mass transfer for  $\Delta P > 6-10$  Pa, this effect being counteracted by increasing feed flow rate ( $Q_F$ ) or process temperature (T), as well as decreasing feed solute concentration ( $C_B$ ). Moreover, while in the RO range  $J_{Wv}$ -log $C_B$  plot linearly decreases with  $C_B$  increasing and vanishes for  $C_B$  as such that the feed osmotic pressure equals feed input pressure, in the UF range such a plot does not tend to zero, but it may reach a minimum value (definitively different from zero), which remains practically constant or increases up to a maximum value before finally decreasing as solute concentration increases (Pritchard *et al.*, 1995).

Almost all the biopolymers recovered via UF processes exhibit a non-Newtonian behaviour of the pseudoplastic type. This is generally described via the Ostwäld-de Waele model, that allows a quite accurate reconstruction of the liquid apparent viscosity ( $\eta_a$ ) in the intermediate shear rate region only, but at very low shear rates overestimates  $\eta_a$ , thus leading to mass transfer coefficients extremely underestimated. Thus, this PhD thesis project will be directed to select which mathematical model with the minimum number of statistically independent parameters allows the best reconstruction of UF membrane process performances buy resorting to

well known experimental design techniques to minimise the experimental trials needed.

#### 2. PhD Thesis Objectives and Milestones

Within the overall objective mentioned above this PhD thesis project can be subdivided into the following activities according to the Gantt diagram given in Table 2:

- A1) **Determination of the physical properties of a few selected biopolymers** (sodium alginates and pectinate, and whey proteins) in aqueous solutions to model their density, osmotic pressure (A1.1) and rheological behaviour (A1.3) as functions of  $C_{\rm B}$ .
- A2) Assessment and modelling of the UF processes in a bench-top plant scale to identify the mathematical model capable of reconstructing their performances as functions of the main operating variables ( $\Delta P$ ; T; Q<sub>F</sub>, C<sub>B</sub>) and membrane constitution, porosity and configuration, that is a C-T (A2.1) and a PES HF (A2.2) membrane module. An experimental strategy to limit polarisation layer growth will also be established to optimise both UF module performance.
- A3) Scaling-up of the UF processes in the pilot plant scale to validate the prediction capability of mathematical models identified during activity A2 when using commercial C-T (A3.1) and PES-HF (A3.2) UF membrane modules.
- A4) **Optimisation of the UF processes examined** so as to assess their optimal operating conditions (A4.1) and set up a generalised experimental procedure (A4.2) to determine the main engineering parameters necessary to design the UF unit in an industrial scale.
- A5) Writing and Editing of the PhD thesis, scientific papers and oral and/or poster communications.

Activi	ty— Months	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
A1)	Biopolymer Physical Properties																								
	1) Density, Osmotic Pressure																								
	2) Rheological Behaviour																								
A2)	UF Process Modelling																								
	1) Ceramic Tubolar Module																								
	2) Hollow-fibre Module																								
A3)	Scaling-up of UF processes																								
	1) Ceramic Tubolar Module																								
	2) Hollow-fibre Module																								
A4)	UF Process Optimisation																								
	1) Optimal UF Processes																								
	2) Generalised Exp.l Procedure																								
A5) Thesis and Paper Preparation																									

**Table 2**Gantt diagram for this PhD thesis project.

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